ELIGIBLE CONTRACT PARTICIPANT QUESTIONNAIRE

Please complete this form only if you anticipate executing block trades, in accordance with the Polymarket US Rulebook.

Please check all that apply:

		ECP Category
1.	☐	I am a “swap dealer,” as defined in Section 1a(49) of the Commodity Exchange Act and CFTC Regulation 1.3.
2.	☐	I am a “security-based swap dealer,” as defined in Section 3(a)(71) of the Securities Exchange Act and Rule 3a71-1 thereunder.
3.	☐	I am a “major swap Participant,” as defined in Section 1a(33) of the Commodity Exchange Act and CFTC Regulation 1.3.
4.	☐	I am a “major security-based swap Participant,” as defined in Section 3(a)(67) of the Securities Exchange Act and Rule 3a67-1 thereunder.
5.	☐	I am a “financial institution” as defined in Section 1a(21) of the Commodity Exchange Act (a “Financial Institution”).
6.	☐	I am an insurance company that is regulated by a state, or that is regulated by a foreign government and is subject to comparable regulation as determined by the CFTC, including a regulated subsidiary or affiliate of such an insurance company (an “Eligible Insurance Company”).
7.	☐	I am an investment company subject to regulation under the Investment Company Act of 1940, as amended, or a foreign person performing a similar role or function subject as such to foreign regulation (regardless of whether each investor in the investment company or the foreign person is itself an Eligible Contract Participant) (an “Eligible Investment Company”).
8.	☐	I am a Commodity Pool that (1) has total assets exceeding $5,000,000 and (2) was formed and is operated by a person subject to regulation under the Commodity Exchange Act or a foreign person performing a similar role or function subject as such to foreign regulation (an “Eligible Commodity Pool”).
9.	☐	I am a corporation, partnership, proprietorship, organization, trust, or other entity (1) that has total assets exceeding $10,000,000 or (2) the obligations of which under each Protocol Covered Agreement to which it is a Party are guaranteed or otherwise supported by a letter of credit or keepwell, support, or other agreement by a corporation, partnership, proprietorship, organization, trust, or other entity that has total assets exceeding $10,000,000, a Financial Institution, an Eligible Insurance Company, an Eligible Investment Company, an Eligible Commodity Pool, an Eligible Government Entity (as defined in paragraph 12 below), or an Other Eligible Person (as defined in paragraph 22 below) (a “Large Entity”).
10.	☐	I am a corporation, partnership, proprietorship, organization, trust, or other entity that has a net worth exceeding $1,000,000 and enters into Swaps in connection with the conduct of the entity’s business or to manage the risk associated with an asset or liability owned or incurred or reasonably likely to be owned or incurred by the entity in the conduct of the entity’s business (a “Hedging Entity ECP”).
11.	☐	I am an employee benefit plan subject to ERISA, a governmental employee benefit plan, or a foreign person performing a similar role or function subject as such to foreign regulation (1) that has total assets exceeding $5,000,000; or (2) the investment decisions of which are made by (A) an investment adviser or commodity trading advisor subject to regulation under the Investment Advisers Act of 1940, as amended, or the Commodity Exchange Act; (B) a foreign person performing a similar role or function subject as such to foreign regulation; (C) a Financial Institution; or (D) an Eligible Insurance Company or a regulated subsidiary or affiliate of such Eligible Insurance Company.

12.	☐	I am (1) a governmental entity (including the United States, a state, or a foreign government) or political subdivision of a governmental entity, (2) a multinational or supranational government entity, or (3) an instrumentality, agency, or department of an entity described in clause (1) or (2), and if I am an entity described in clause (1) or (3), I own and invest on a discretionary basis $50,000,000 or more in investments, or otherwise satisfy the requirements of Section 1a(18)(A)(vii)(III)(aa) or (cc) of the Commodity Exchange Act (an "Eligible Government Entity").
13.	☐	I am a broker or dealer (other than a natural person or proprietorship) subject to regulation under the Securities Exchange Act or a foreign person (other than a natural person or proprietorship) performing a similar role or function subject as such to foreign regulation.
14.	☐	I (1) am a broker or dealer (and a natural person or proprietorship) subject to regulation under the Securities Exchange Act or a foreign person (that is a natural person or proprietorship) performing a similar role or function subject as such to foreign regulation and (2) qualify as a Large Entity or Eligible Individual (as defined in paragraph 20 below).
15.	☐	I am an associated person of a registered broker or dealer concerning the financial or securities activities of which the registered broker or dealer makes and keeps records under Section 15C(b) or 17(h) of the Securities Exchange Act.
16.	☐	I am an investment bank holding company (as defined in Section 17(i) of the Securities Exchange Act).
17.	☐	I am a futures commission merchant subject to regulation under the Commodity Exchange Act (other than a natural person or proprietorship) or a foreign person (other than a natural person or proprietorship) performing a similar role or function subject as such to foreign regulation.
18.	☐	I (1) am a futures commission merchant (and a natural person or proprietorship) subject to regulation under the Commodity Exchange Act or a foreign person (that is a natural person or proprietorship) performing a similar role or function subject as such to foreign regulation and (2) qualify as a Large Entity or Eligible Individual.
19.	☐	I am a floor broker or floor trader subject to regulation under the Commodity Exchange Act in connection with any transaction that takes place on or through the facilities of a registered entity (other than an electronic trading facility with respect to a significant price discovery contract) or an exempt board of trade, or any affiliate thereof, on which such person regularly trades.
20.	☐	I am an individual who has amounts invested on a discretionary basis, the aggregate of which is in excess of $10,000,000 (an "Eligible Individual").
21.	☐	I am an individual who has amounts invested on a discretionary basis, the aggregate of which is in excess of $5,000,000, and who enters into Swaps in order to manage the risk associated with an asset owned or liability incurred, or reasonably likely to be owned or incurred, by the individual (a "Hedging Individual ECP").
22.	☐	I am a person that the CFTC has determined to be eligible in light of the financial or other qualifications of the person (an "Other Eligible Person") because:____.

PARTICIPANT APPLICANT

By:

Print Name:

Date:

ACCEPTED AND APPROVED

QCX LLC d/b/a Polymarket US

By:

Print Name:

Date:

ACCEPTED AND APPROVED

QC CLEARING LLC d/b/a Polymarket Clearing

By:

Print Name:

Date: